

10/511491

DTO C'd PCT/PTO 15 OCT 2004

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Dated: October 15, 2004

Signature:

(Tom A. Hill)

Docket No.: 07200/056001
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Shu Ogawa et al.

Application No.: Not Yet Assigned

Confirmation No.: N/A

Filed: Concurrently Herewith

Art Unit: N/A

For: STAMPER PREFORM AND PRODUCTION
METHOD THEREOF, STAMPER AND
PRODUCTION METHOD THEREOF, AND
OPTICAL DISK

Examiner: Not Yet Assigned

22511

PATENT TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

A copy of each reference on the PTO/SB/08 is attached.

Application No.: Not Yet Assigned

A concise explanation of relevance of the items listed on form PTO/SB/08 is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.

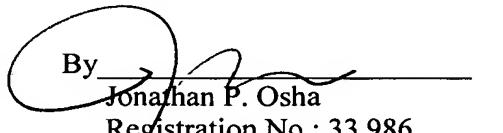
In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0591, under Order No. 07200/056001. A duplicate copy of this paper is enclosed.

Dated: October 15, 2004

Respectfully submitted,

By 
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Substitute for form 1449A/B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	Not Yet Assigned
<i>(Use as many sheets as necessary)</i>				Filing Date	Concurrently Herewith
				First Named Inventor	Shu Ogawa
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	07200/056001

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
					T ⁶
BA	JP-7-057995	03-03-1995	Toshiba Corp.		
BB	JP-5-107769	04-30-1993	Fujitsu Ltd.		
BC	JP-4-077746	03-11-1992	Sony Corp		
BD	JP-8-305036	11-22-1996	Oki Electric Ind. Co. Ltd.		
BE	JP-5-7066546	04-22-1982	Sanyo Electric Co. Ltd.		
BF	JP-2001-243662	09-07-2001	Sony Corp.		
BG	JP-10-21589	01-23-1998	Hitachi Chem Co. Ltd.		
BH	JP-11-167205	06-22-1999	NEC Corp.		
BI	JP-10-301268	11-13-1998	NEC Corp.		
BJ	JP-8-044061	02-16-1996	Nippon Zeon Co. Ltd.		
BK	JP-5-181277	07-23-1993	Mitsubishi Kasei Corp.		
BL	JP-5-323609	12-07-1993	Tokyo Ohka Kogyo Co. Ltd.		
BM	JP-2001-109165	07-17-2002	Clariant Int. Ltd. (CH)		
BN	JP-2001-291288	10-19-2001	Hitachi Ltd.		
BO	JP-2002-060641	02-26-2002	Shin Etsu Chem. Co. Ltd.		
BP	JP-6-150391	05-31-1994	Matsushita Electric Ind. Co. Ltd.		

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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	CA	esp@cenet database Abstract - Japanese Patent Application No. 6-160391, published may 31, 1994			
	CB	esp@cenet database Abstract - Japanese Patent Application No. 2002-060641, published February 26, 2002			
	CC	esp@cenet database Abstract - Japanese Patent Application No. 2001-291288, published October 19, 2001			
	CD	esp@cenet database Abstract - Japanese Patent Application No. 2001-109165, published July 17, 2002			
	CE	esp@cenet database Abstract - Japanese Patent Application No. 5-323609, published December 7, 1993			
	CF	esp@cenet database Abstract - Japanese Patent Application No. 5-181277, published July 23, 1993			

Examiner Signature		Date Considered
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PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449A/B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	Not Yet Assigned
				Filing Date	Concurrently Herewith
				First Named Inventor	Shu Ogawa
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	07200/056001

CG	esp@cenet database Abstract - Japanese Patent Application No. 8-044061, published February 16, 1996	
CH	esp@cenet database Abstract - Japanese Patent Application No. 10-301268, published November 13, 1998	
CI	esp@cenet database Abstract - Japanese Patent Application No. 11-167205, published June 22, 1999	
CJ	esp@cenet database Abstract - Japanese Patent Application No. 10-021589, published January 23, 1998	
CK	esp@cenet database Abstract - Japanese Patent Application No. 2001-243662, published September 7, 2001	
CL	esp@cenet database Abstract - Japanese Patent Application No. 5-7066546, published April 22, 1982	
CM	esp@cenet database Abstract - Japanese Patent Application No. 8-305036, published November 22, 1996	
CN	esp@cenet database Abstract - Japanese Patent Application No. 5-107769, published April 30, 1993	
CO	esp@cenet database Abstract - Japanese Patent Application No. 7-057995, published March 3, 1995	
CP	esp@cenet database Abstract - Japanese Patent Application No. 4-077746, published March 11, 1992	

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²Applicant's unique citation designation number (optional). ³Applicant is to place a check mark here if English language Translation is attached.

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Application No. (if known): Not Yet Assigned

Attorney Docket No.: 07200/056001

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IDS (Citation) by Applicant (32 Refs)